

East Side Union High School District

RFP-41-16-17 for Geotechnical Testing & Inspection Services April 12, 2017

East Side Union High School District is requesting a proposal from the District's approved Geotechnical Testing & Inspections firms to provide full service, comprehensive, professional DSA required special testing and inspections services.

General Project Information:

- Project Name: PH New CR Building D1&D2
- Project Number: **Z-045-602**
- DSA Application # 01-116180, File #43-H10
- Project Address: Piedmont Hills High School 1377 Piedmont Rd, San Jose, CA 95132
- Estimated Construction Value: \$9,700,000
- Delivery Method:
 - o Design Bid Build Single Prime Contractor
- Respondent should review construction documents for complete scope of work. Generally, the project consists of the following:
 - Remove existing portable classrooms buildings near student parking lot where new buildings will be constructed as shown on drawings.
 - New Construction will include 4 Science Classrooms (with prep space), 4 General Ed Classrooms, and 1 Life Skills Lab.
- Drawings and Specifications for the project are available on the project documents web site:
 - http://www.esuhsd.org/Community/Purchasing/Capital-Purchasing/Current-RFQ-RFP-BIDS/index.html
 - The documents are also available for viewing at the Capital Purchasing Office at the Education Center, 830 N. Capitol Avenue, San Jose, CA 95133; contact Janice Unger to make an appointment.
- Project Team Members:
 - o Architect: Denise Flatley, LPA
 - o Hazardous Materials Consultant: N/A
 - o Construction Manager: Van Pelt Construction Services, Mony Thach
 - o Contractor: TBD
 - o Special Testing & Inspections: TBD

Project Attachments to this RFP:

DSA Form 103 Testing & Inspection Sheet – Reference for proposal

Schedule:

• Schedule: Construction is expected to begin in **June 2017**. Construction duration is estimated as **13** months.

Preparing every student to thrive in a global society.

Testing & Inspection Responsibilities:

- Coordinate inspections and report to DSA Inspector of Record
- Provide semi-monthly reports to DSA, IOR, District, CM, A/E
- Review progress of work as needed with IOR, A/E, CM, District
- Provide review and comment on proposed contractor change orders for any work with special testing/inspection ramifications.
- Provide Form 6 Final Verified Report to DSA, with copies to IOR, District, CM, A/E

Form of Contract:

• The awarded respondent will be required to execute a standard Contract Services Agreement (attached)

Proposals:

- Please submit a services and fee proposal based on the drawings, specifications, Geotechnical Soil Testing & Inspection Sheet and project schedule. Proposals should include, at a minimum, the following:
 - o Indicate the point of contact proposed for this Project
 - o Provide a fee proposal that delineates the following:
 - Estimated Cost Proposal
 - · Standard Fee Schedule
 - Outline hourly rate for each specific Geotechnical Inspection and Testing on DSA 103.
 - Other expenses identify (if none, please state none)
 - Provide clarifications, exceptions and exclusions as needed
 - If construction exceeds the preliminary anticipated schedule, specify if/how your firm will approach additional service consideration.
 - The District will not pay:
 - · Mileage charges
 - · Per diem charges
- Costs of preparation of proposals will be borne by the proposer.
- Proposals are due before 2:00 PM on May 03, 2017 and must be submitted via email to:
 - o <u>CapPurchasing@esuhsd.org</u> Capital Purchasing Department, East Side Union High School District, 830 N. Capital Ave, San Jose, CA.
 - o Please specify on email subject line: "RFP-41-16-17, PH New CR Building D1&D2, Geotechnical Testing & Inspection Services"

Selection Process and Criteria:

- Selection will be based on District review of respondents' proposed services and fee, qualifications and experience, past project successes as it pertains to providing Testing and Inspection services.
- This request does not constitute an offer of employment or to contract for services.
- The District reserves the option to reject any or all proposals, wholly or in part, received by reason of this request.
- All proposals shall remain firm for forty-five (45) days following the closing date for receipt of proposals.
- The District reserves the right to award the contract to the Testing and Inspection Firm who presents the proposal which in the judgment of the District, best accomplishes the desired results.
- The District reserves the right to reject all proposals without cause.

Requests for Information:

• Direct questions pertaining to this Project to Mony Thach, Van Pelt Construction Services, mony.thach@vpcsonline.com and copy Capital Purchasing at CapPurchasing@esuhsd.org

EAST SIDE UNION HIGH SCHOOL DISTRICT

CONTRACT SERVICES AGREEMENT IRS GUIDELINES

Please complete questionnaire below before completing Contract Services form.

Consultant Firm's Name		
Brief Description of Services		

PART I			YES	NO	
1. Has this category of worker	already been classified an "en	nployee" by the IRS?			
Administrators	tutors	• • • •			
teachers/instructors	cafeteria workers	psychologists			
substitutes	counselors	intern psychologists			
school bus drivers	examination monitors	specialty teachers			
clerical staff	proctors	librarians			
athletic coaches	athletic coaches individuals "filling in" on an interim basis				
2. Is this individual working as	an employee prescribed by the	ne Education Code?			
Code Sections 44800-45060 employer/employee relation 3. Is the individual already an education 4. Has the individual performed past?	O define the certificated service aship when state law mandate employee of the district in and disubstantially the same service.	s such a relationship.			
required of this individual?					
6. Does the district have the legal right to control the method of performance by this individual?					
Consider whether the District will train the individual or give instruction as to when, where,					
how, and in what order the work will be performed.					
7. Does the District requi	re the individual to subm	nit reports on the details of their			
work or work at a pa	articular site?				
These factors indicate the	District maintains control suf	ficient for an employer/employee			
-	•	ict exercise this right, or have the			
expertise required to do so					

If the answer to <u>any</u> of the above questions is "YES" --- STOP HERE !!!

Do not complete the rest of the questions. The individual is a district employee and must be paid and reported accordingly. Call Human Resources for further details.

If all of the above are "NO", continue...

PART II	YES	NO
8. Will all the work be performed by this individual?		
Consider whether or not the individual may designate someone else to do the work without the		
District's knowledge or approval.		
9. Does the district have a continuing relationship with this individual?		
Is this a "one shot" assignment, or will the District continue to use this individual in the future?		
This could be on an infrequent or irregular basis, but a continuous relationship exists.		
10. Can this relationship be terminated without the consent of both parties?		

If the answer to the question 8, 9, or 10 is "YES", there is a good possibility that an employment relationship exists. Questions 8 & 9 are indicators of district control that in conjunction with other factors imply an employment relationship.

11. Does the individual operate an independent trade or business that is available to the general					
public?					
A determining factor in judging independence is the performance of services to the general					
public. In evaluating this criteria, the District is considered to be a separate entity. Keep in					
mind: if the District is using this individual's services on a full-time basis, the individual is not					
available to the general public.					
12. Does the individual have a substantial investment in his/her business, i.e. maintains a facility,					
equipment, etc.?					
This is indicative of economic risk inherent in business enterprises. An independent					
Consultant must be able to make a profit or sustain a loss.					
If either 11 or 12 are "NO", the individual is a district employee STOP HERE and process					
the individual through Human Resources and payroll.					

If 11 and 12 are both "YES", continue...

13. Does the individual provide all materials and support services necessary for the performance of	1
this service?	1
The District should not be providing office space on a regular basis, clerical, secretarial, or	1
other support for the individual such as materials, copying, printing, office supplies, etc. Any	ı
necessary assistance should be provided by the individual.	ı
14. Is this individual paid by the job or upon completion and acceptance of the work as a whole or	
milestones identified in the contract?	
15. Does the individual bear the cost of any travel and business expenses incurred to perform this	
service?	ı
Generally the individual will pay the cost of any travel and business expenses incurred to	ı
perform the work. However, some agreements may be made to provide for payment of airfare,	1
mileage, etc. for consultants.	1

If 11 and 12 are "YES", 13 through 15 should also be "YES" and are items that should be written into the Independent Contract.

This individual is an Independent Consultant. A "YES" on questions 13 through 15 supports the district's conclusion and substantiates a "reasonable basis" for treatment as independent Consultant. While there is circumstances where the district may pay contractually provided expenses, these should be kept at a minimum to avoid giving the impression of an employment relationship.

By signing below, Consultant and Purchasing Manager attest that they have reviewed District guidelines and certify that the information above is true and correct.

Consultant Signature	Date
Purchasing Manager Signature	Date
Please attach questionnaire to completed Contract Service	ces Agreement form and send to the Business Office.

(2015.08.28)

EAST SIDE UNION HIGH SCHOOL DISTRICT

	CON	TRACT SI	ERVICES A	GREEMENT	(No)
TO:	BUSINESS SERVICES					
FRO	OM: Facilities/Capital Projects	Ja	anice Unger		Ext.#	
	SCHOOL/DEPT.		CON	TACT PERSON		
	PARTIES : The East Side Union High 95133, and the following named Consul		(ESUHSD), who	se address is 830 N. (Capitol Avenue, Sa	n Jose, CA
	Consultant Firm's Name:					
	Address		CITY	ST	Zip	
	Telephone:		Fax No			
	Email Address:		S.S. or Fede	ral I.D. Number		
:	Consultant's License # mutually agree and promise as follows a	and as per terms	Types and conditions s	Expi	ratione side of this page ((page 2):
2.	CONTRACT TERM: Effective dates:	:		to		·
	CONSULTANT'S OBLIGATION: It materials, products, and/or reports. Atta					ollowing services,
	The scope of services, hereinafter refe	erred to as Serv	vices, covered by	this Contract Servi	ce Agreement (CS	(A), which is
inco	rporated and made part of this agree	ment by this re	ference and the	accompanying vend	or's, estimated br	eakdown of cost
per j	proposal submitted on <mark>(date)</mark>	for the <mark>_(scho</mark>	ol and project n	ame)Pro	ject #	<u> </u>
The	scope of work includes	and/or		ESUHSD does not pa	y for mileage. Ser	vices not to
exce	ed without District approval = \$					
Invo	oices are to be submitted to East Side	Union High Sch	hool District in t	he following manner	r <u>:</u>	
1. I	nvoices shall be in sufficient details to f	ully understand	the services prov	vided during the time	period specified or	the invoice, ie:
dates	s, times, location, tasks, staff/sub consul	tant who provid	led the service, an	nd any other informat	ion that is pertinent	to the services
prov	ided.					
2. P	urchase order number, project name and	l number, DSA	file number, alon	g with application nu	mber assigned to th	nis project needs
to be	e listed on each invoice.					
3. Ir	nvoices are to be sent electronically to C	apital Accounti	ng Department, (CapAcctg@esuhsd.or	g. or mail to East S	ide Union High
Scho	ool District, 830 North Capitol Ave, San	Jose, CA 95133	3 Attn: Capital A	ccounting.		
(If a _l	pplicable)					
ESU	HSD has retained the services of	as the	e Architect of the	Construction Work.		
ESU	HSD has retained the services of	as the	e Construction M	lanager of the Constru	ection Work.	
ESU	THSD has retained the services of			_		
			-			D 1 62

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Remit Address: ___ (Consultant's name and address)_____

4.	upon comp Hourly Ra	COMPENSATION: In consideration of Consultant's provision of services as described above, ESUHSD shall pay Conupon completion of services, as follows: Hourly Rate \$								
5.										
	FD	LOC	PROG	GOAL	FUNC	OBJT	RESC	YR	MGR	\$ AMOUNT
	XX	XXX	XXX	XXXX	XXXX	XXXX	XXXX	X	XXX	
6.	East Side	U nion High	School Dis	strict:	parties' agr				Date	
	Business S	ervices							Date	
									Date	
	Consultan	t:								
	Signature	Signature							Date	
		Printed Name							Title	
	(Form #300	2-23 mdc 8/C	13)							Page 2 of 3

CONTRACT TERMS AND CONDITIONS

- 1. **COMPENSATION**: In consideration of Consultant's provision of services as described, and subject to the payment provisions expressed herein, ESUHSD shall pay Consultant as agreed, upon Consultant's submission of a properly documented demand for payment which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ESUHSD.
- 2. TERMINATION: This contract may be terminated by ESUHSD at its sole discretion, upon five-day (5) advance written notice thereof to the Consultant, or cancelled immediately by written mutual consent.
- 3. INDEPENDENT CONSULTANT STATUS: This contract is by and between two independent Consultants and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Consultant certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ESUHSD. Additionally, as the Consultant is not an ESUHSD employee, ESUHSD is not responsible for obtaining workers' compensation insurance coverage for the Consultant.
- 4. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.
- 5. INDEMNIFICATION: The Consultant agrees and shall defend, indemnify, save, and hold harmless ESUHSD, its agents, officers and employees from any and all claims, costs and liability for any damages, from any cause whatsoever arising directly or indirectly from or connected with the operations or services of the Consultant, its agents, servants, employees or subConsultants hereunder, and any other person, firm or corporation furnishing or supplying work, services, materials, or supplies in connection with the performance of this agreement and all claims and losses accruing or resulting to any person, firm, or corporation who may be injured or damaged by the Consultant in the performance of this agreement. Consultant will reimburse the ESUHSD for any expenditures, including reasonable attorney's fees, ESUHSD may make by the reason of the matters that are the subject of this indemnification, and if requested by ESUHSD, will defend any claims or litigation to which this indemnification provision applies at the sole cost and expense of the Consultant.
- 6. INSURANCE: The Consultant will maintain general liability insurance, including automobile coverage, in an amount as may be reasonably necessary to assure compliance with the indemnification provision, herein above. Additional insurance may be required by ESUHSD. The Consultant agrees to produce copies of the required policies of insurance upon request of ESUHSD. The requirements of this provision may be waived by ESUHSD; however, any waiver shall not affect the Consultant's liability to ESUHSD under the indemnification provision.
- 7. NON-DISCRIMINATION/AFFIRMATIVE ACTION: No discrimination shall be made in the employment of persons under this agreement because of the race, color, national origin, age, ancestry, physical handicap, religion, or sex of such person or any other basis protected by law.
- 8. LICENSE AND AUTHORITY: The Consultant warrants that he/she/it will maintain all necessary licenses, registrations, and certifications during the term of this agreement, and that, if other than a natural person, it is duly authorized to enter into this agreement by its governing or controlling body. Consultant shall provide evidence or copies of all necessary licenses, registrations and certifications upon ESUHSD's request.
- 9. EQUIPMENT AND FACILITIES: The Consultant will provide all necessary equipment and facilities to render his/her/its services pursuant to this agreement, unless the parties to this agreement specifically agree in writing that said equipment and facilities will be provided in a different manner.
- 10. EXPENSES: The Consultant shall be responsible for all costs and expenses incident to the performance of services for ESUHSD, including but not limited to: all costs of equipment provided by the Consultant, all fees, fines, licenses, bonds, or taxes required of or imposed against the Consultant, and all other of the Consultant's costs of doing business. ESUHSD shall not be responsible for any expenses incurred by the Consultant in performing services for ESUHSD except as provided by the agreement.
- 11. TAX REPORTING/PAYMENT RESPONSIBILITIES: ESUHSD shall provide an annual statement of compensation paid on the appropriate federal and/or state information forms. The Consultant is responsible for payment of any federal and/or state tax amounts due.
- 12. ASSIGNMENT: Without the written consent of ESUHSD, this agreement is not assignable by the Consultant, either in whole or in part.
- 13. GOVERNING LAW AND LABOR CODE: The validity of this agreement and any of its terms or provisions a well as the rights and duties of the parties hereunder shall be governed by the laws of the State of California. The Consultant shall be subject to and shall comply with all Federal, State, and Local laws and regulations applicable with respect to its performance under this contract, including but not limited to, licensing, employment and purchasing practices, and wages, hours and conditions of employment, including non-discrimination. Consultant shall comply with the applicable provisions of the Labor Code, Section 1720-1861, State of California, especially in regards to prevailing wages, copies of which are available at the District Office.
- 14. AMBIGUITY: The parties to this agreement, and each of them, hereby represent that the language contained herein is to be construed as jointly proposed and jointly accepted, and in the event of any subsequent determination of ambiguity, all parties shall be treated as equally responsible for such ambiguity.
- 15. FINGERPRINTING AND CRIMINAL RECORDS CHECK: Consultant shall comply with the provisions of Education Code Section 45125.1 regarding the submission of employee fingerprints to the California Department of Justice and the completion of criminal background investigations of its employees. Consultant shall not permit any employees to have any contact with District pupils until such time as Consultant has verified in writing to the governing board of the East Side Union High School District that such employee has not been convicted of a felony as defined in Education Code Section 45125.1. Consultant's responsibility shall extend to all employees, subConsultants, and employees of subConsultants regardless of whether such individuals are paid or unpaid, concurrently employed by the District, and/or acting as independent Consultants of the Consultant. Verification of compliance with this section shall be provided in writing to the District prior to each individual's commencement of employment or participation in the project and prior to permitting contact with pupils. If the Consultant believes that Education Code section 45125.1 does not require fingerprinting, Consultant shall take the appropriate steps to provide for the safety of any pupils that may come into contact with its employees.



CONFLICT OF INTEREST STATEMENT East Side Union High School District (Consultants)

[This form must be filled out, signed, dated and submitted by all persons seeking to serve as a consultant to the District]

Board Policy 3600 of the East Side Union High School District provides in part:

District shall fill out truthfully, sign, date and submit this Conflict of Interest Statement prior to

"Independent contractors applying for a consultant contract shall submit a written conflict of interest statement disclosing financial interests as determined necessary by the Superintendent or designee, depending on the range of duties to be performed by the consultant. The Superintendent or designee shall consider this statement when deciding whether to recommend the consultant's employment."

The Superintendent has determined that all persons seeking to serve as a consultant to the

¹ "Gifts" do not include promotional or advertising items such as calendars, desk pads, notebooks and other office items valued less than \$25.00 and which are of the type usually offered by business concerns free of charge to all as part of their public relations programs.



5. I do not employ or retain, and will not employ or retain, any current District Responsible Employee as a consultant, independent contractor or employee during the term of my consultancy agreement with the District.
6. I am authorized to make, and do make, this certification on behalf of
The foregoing certifications are true and correct. I make this certification under penalty of perjury under the laws of the State of California.
Signature of Consultant
Signature Date

Attachment B Insurance Requirements Page 1 of 3

Without limiting the Vendor's indemnification of ESUHSD, the Vendor shall provide and maintain at its own expense, during the term of the Contract(s), or as may be further required herein, the following insurance coverage and provisions:

A. Evidence of Coverage

Prior to commencement of a Contract, the Vendor shall provide a Certificate of Insurance certifying that coverage as required herein has been obtained along a separate special endorsement executed by the insurance carrier which actually modifies the vendor's insurance policy to include ESUHSD as additionally insured. In addition, a certified copy of the policy or policies shall be provided by the Vendor upon request.

This verification of coverage shall be sent to the Purchasing Department at ESUHSD, unless otherwise directed. The Vendor shall not receive a Notice to Proceed with the work under the Contract until it has obtained all insurance required and such insurance has been approved by the ESUHSD. This approval of insurance shall neither relieve nor decrease the liability of the Vendor.

B. Qualifying Insurers

All coverages, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A V, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by ESUHSD.

C. Insurance Required

- 1. <u>Commercial General Liability Insurance</u> for bodily injury (including death) and property damage which provides limits as follows:
 - a. Each occurrence \$1,000,000 b. General aggregate - \$2,000,000
 - c. Products/Completed Operations aggregate \$2,000,000
 - d. Personal Injury- \$1,000,000

A minimum of 50% of each of the aggregate limits must remain available at all times unless coverage is project specific.

- 2. General liability coverage shall include:
 - a. Premises and Operations
 - b. Products/Completed
 - c. Contractual liability, expressly including liability assumed under the resulting contract.
 - d. Personal Injury liability
 - e. Owners' and Vendors' Protective liability
 - f. Severability of interest
- 3. General liability coverage shall include the following endorsements, copies of which shall be provided to ESUHSD:
 - a. Additional Insured Endorsement:

Attachment B Insurance Requirements Page 2 of 3

Insurance afforded by this policy shall also apply to ESUHSD, and members of the Board of Trustees, and the officers, agents, and employees of the ESUHSD, individually and collectively, as additional insureds. Such insurance shall also apply to any municipality in which the work occurs and they shall be named on the policy as additional insured (if applicable).

b. Primary Insurance Endorsement:

Insurance afforded by the additional insured endorsement shall apply as primary insurance, and other insurance maintained by the ESUHSD, its officers, agents, and employees shall be excess only and not contributing with insurance provided under this policy.

c. Notice of Cancellation or Change of Coverage Endorsement:

Insurance afforded by this policy shall not be canceled or changed so as to no longer meet the specified ESUHSD insurance requirements without 30 days' prior written notice of such cancellation or change being delivered to the ESUHSD.

d. Contractual Liability Endorsement:

Insurance afforded by this policy shall apply to liability assumed by the insured under written contract with the ESUHSD.

4. Automobile Liability Insurance

For bodily injury (including death) and property damage which provides total limits of not less than one million dollars (\$1,000,000) combined single limit per occurrence applicable to all owned, non-owned and hired vehicles.

- 5. Workers' Compensation and Employer's Liability Insurance
 - a. Statutory California Workers' Compensation coverage including broad form all-states coverage.
 - b. Employer's Liability coverage for not less than one million dollars (\$1,000,000) per occurrence.
- 6. Professional Errors and Omissions Liability Insurance
 - a. Coverage shall be in an amount of not less than one million dollars (\$1,000,000) per occurrence/aggregate.
 - b. If coverage contains a deductible or self-retention, it shall not be greater than twenty-five thousand dollars (\$25,000) per occurrence/event.

7. Claims Made Coverage

If coverage is written on a claim made basis, the Certificate of Insurance shall clearly state so. In addition to coverage requirements above, such policy shall provide that:

Attachment B Insurance Requirements Page 3 of 3

- a. Policy retroactive date coincides with or precedes the Consultant's start of work (including subsequent policies purchased as renewals or replacements).
- b. Consultant will make every effort to maintain similar insurance during the required extended period of coverage following project completion, including the requirement of adding all additional insured.
- c. If insurance is terminated for any reason, Consultant agrees to purchase an extended reporting provision of at least two years to report claims arising from work performed in connection with this Agreement or Permit.
- d. Policy allows for reporting of circumstances or incidents that might give rise to future claims.

D. Special Provisions

The following provisions shall apply to the resulting contract:

- 1. The foregoing requirements as to the types and limits of insurance coverage to be maintained by the Vendor and any approval of said insurance by the ESUHSD or its insurance consultant(s) are not intended to and shall not in any manner limit or qualify the liabilities and obligations otherwise assumed by the Vendor pursuant to the resulting contract, including but not limited to the provisions concerning indemnification.
- 2. The ESUHSD acknowledges that some insurance requirements contained in the resulting contract may be fulfilled by self-insurance on the part of the Vendor. However, this shall not in any way limit liabilities assumed by the Vendor under the resulting contract. Any self-insurance shall be approved in writing by the ESUHSD upon satisfactory evidence of financial capacity. Vendor's obligation hereunder may be satisfied in whole or in part by adequately funded self-insurance programs or self-insurance retentions.
- 3. Should any of the work under the resulting contract be sublet, the Vendor shall require each of its subVendors of any tier to carry the aforementioned coverages, or Vendor may insure subVendors under its own policies.
- 4. The ESUHSD reserves the right to withhold payments to the Vendor in the event of material noncompliance with the insurance requirements outlined above.